

021204

16310 U.S. PTO

17302 U.S. PTO  
101777341

021204

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                            |
|------------------------|----------------------------|
| Attorney Docket No.    | A03081US (98762.1)         |
| First Inventor         | William Thomas Lipsey, III |
| Title                  | "Trailer Apparatus"        |
| Express Mail Label No. | EV 401786920 US            |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Sheets       ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS Citations
13. ☐ Statement (IDS)/PTO-1449
14. ☒ Preliminary Amendment
15. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
17. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
18. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 22920 OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Charles C. Garvey, Jr.

Registration No. (Attorney/Agent)

27,889

Signature

*Charles C. Garvey, Jr.*

Date

2-12-04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

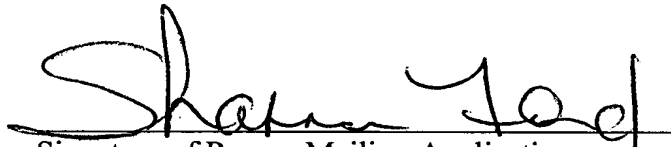
PATENT APPLICATION FOR "TRAILER APPARATUS"


INVENTOR: William Thomas Lipsey, III

Attorney Docket No. A03081US (98762.1)

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the patent application, above-referenced, is being deposited with the United States Postal Service as Express Mail, Label No. **EV 401786920 US**, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 12 February, 2004.

  
Signature of Person Mailing Application

  
(Typed or printed name of person signing)

GARVEY, SMITH, NEHRBASS & DOODY, L.L.C.  
3838 N. Causeway Blvd., Suite 3290  
Metairie, LA 70002  
Phone: (504) 835-2000  
Fax: (504) 835-2070

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PTO/SB/17 (10-03)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 716.00)

## Complete if Known

Application Number  
Filing Date  
First Named Inventor William Thomas Lipsey, III  
Examiner Name  
Art Unit  
Attorney Docket No. A03081US (98762.1)

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0694  
Deposit Account Name Garvey, Smith, Nehrbass & Doody

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
| 1001         | 2001         | 770      | 385      | Utility filing fee     | 385      |
| 1002         | 2002         | 340      | 170      | Design filing fee      |          |
| 1003         | 2003         | 530      | 265      | Plant filing fee       |          |
| 1004         | 2004         | 770      | 385      | Reissue filing fee     |          |
| 1005         | 2005         | 160      | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |              |          |          |                        | (\$ 385) |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 52                 | -20** = 32   | 9              | 288      |
| Independent Claims | 4            | -3** = 1       | 43       |
| Multiple Dependent |              |                | 145      |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  |
|--------------|--------------|----------|----------|--|
| 1202         | 2202         | 18       | 9        | Claims in excess of 20                                     |
| 1201         | 2201         | 86       | 43       | Independent claims in excess of 3                          |
| 1203         | 2203         | 290      | 145      | Multiple dependent claim, if not paid                      |
| 1204         | 2204         | 86       | 43       | ** Reissue independent claims over original patent         |
| 1205         | 2205         | 18       | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 331)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  |
|--------------|--------------|----------|----------|--|
| 1051         | 2051         | 130      | 65       | Surcharge - late filing fee or oath  |
| 1052         | 2052         | 50       | 25       | Surcharge - late provisional filing fee or cover sheet                     |
| 1053         | 1053         | 130      | 130      | Non-English specification  |
| 1812         | 1812         | 2,520    | 2,520    | For filing a request for ex parte reexamination                            |
| 1804         | 1804         | 920*     | 920*     | Requesting publication of SIR prior to Examiner action                     |
| 1805         | 1805         | 1,840*   | 1,840*   | Requesting publication of SIR after Examiner action                        |
| 1251         | 2251         | 110      | 55       | Extension for reply within first month                                     |
| 1252         | 2252         | 420      | 210      | Extension for reply within second month                                    |
| 1253         | 2253         | 950      | 475      | Extension for reply within third month                                     |
| 1254         | 2254         | 1,480    | 740      | Extension for reply within fourth month                                    |
| 1255         | 2255         | 2,010    | 1,005    | Extension for reply within fifth month                                     |
| 1401         | 2401         | 330      | 165      | Notice of Appeal   |
| 1402         | 2402         | 330      | 165      | Filing a brief in support of an appeal                                     |
| 1403         | 2403         | 290      | 145      | Request for oral hearing   |
| 1451         | 1451         | 1,510    | 1,510    | Petition to institute a public use proceeding                              |
| 1452         | 2452         | 110      | 55       | Petition to revive - unavoidable   |
| 1453         | 2453         | 1,330    | 665      | Petition to revive - unintentional   |
| 1501         | 2501         | 1,330    | 665      | Utility issue fee (or reissue)   |
| 1502         | 2502         | 480      | 240      | Design issue fee   |
| 1503         | 2503         | 640      | 320      | Plant issue fee  |
| 1460         | 1460         | 130      | 130      | Petitions to the Commissioner  |
| 1807         | 1807         | 50       | 50       | Processing fee under 37 CFR 1.17(q)  |
| 1806         | 1806         | 180      | 180      | Submission of Information Disclosure Stmt                                  |
| 8021         | 8021         | 40       | 40       | Recording each patent assignment per property (times number of properties) |
| 1809         | 2809         | 770      | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810         | 2810         | 770      | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801         | 2801         | 770      | 385      | Request for Continued Examination (RCE)                                    |
| 1802         | 1802         | 900      | 900      | Request for expedited examination of a design application                  |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type) Charles C. Garvey, Jr. Registration No. 27,889 Telephone 504-835-2000  
Signature Charles C. Garvey, Jr. Date 2-12-04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|   |  |                        |                            |
|---|--|------------------------|----------------------------|
| <b>NONPUBLICATION REQUEST<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> |  | First Named Inventor   | William Thomas Lipsey, III |
|   |  | Title                  | "Trailer Apparatus"        |
|   |  | Attorney Docket Number | A03081US (98762.1)         |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2-12-04  
Date

Charles C. Garvey, Jr.  
Signature

504-835-2000  
Telephone number

Charles C. Garvey, Jr., Attorney for Applicant  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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